



# SHANKS & HERBERT

Intellectual Property Advocates

TransPotomac Plaza, 1033 N. Fairfax St., Suite 306, Alexandria, VA 22314  
Telephone: 703-683-3600 Facsimile: 703-683-9875 Email: Kristin@mail.ipadvocates.com

29 MAR 2002 PCT  
4350 19

March 29, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application  
Serial No.: 09/720,979 (U.S. National Stage of PCT/JP99/03552)  
International Filing Date: July 1, 1999  
For: **Negative-Sense RNA Virus Vector for Nerve Cell**  
Inventors: Fukumura et al.  
Attorney Docket No.: 4001-0003

Sir:

The following documents, are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Second Preliminary Amendment;
2. Statement to Support Filing and Submission of Sequence Listing in Accordance with 37 C.F.R. §§ 1.821-1.825;
3. Paper Copy of Sequence Listing;
4. Computer Readable Form of Sequence Listing;
5. Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US); and
6. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

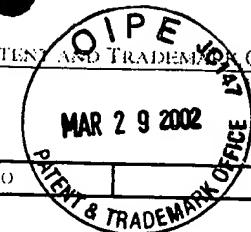
Respectfully submitted,

SHANKS & HERBERT

Kristin K. Vidovich  
Reg. No. 41,448



UNITED STATES PATENT AND TRADEMARK OFFICE



KV  
 Correspondence for Patents, Box 371  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO  
 09/720,979

FIRST NAMED APPLICANT  
 Masayuki Fukumura

ATTY DOCKET NO  
 4001-0003

INTERNATIONAL APPLICATION NO

PCT/JP99/03552

I.A. FILING DATE 07/01/1999	PRIORITY DATE 07/03/1998
--------------------------------	-----------------------------

CONFIRMATION NO. 8941

371 FORMALITIES LETTER



\*OC000000007627320\*

Transpotomac Plaza  
 Suite 306  
 1033 North Fairfax Street  
 Alexandria, VA 22314

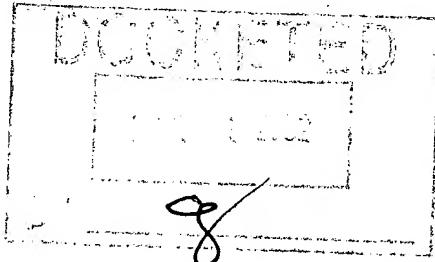
**RECEIVED**  
 MAR 18 2002  
 SHANKS & HERBERT

Date Mailed: 03/13/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Claims
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Drawings
- Information Disclosure Statements
- Initial Application Filing Fees
- Oath or Declaration
- Original Specification
- Preliminary Amendments
- Small Entity Statement



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - **APPLICANT MUST PROVIDE:**
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
09/720,979	PCT/JP99/03552	4001-0003